

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
3548.04-1

Total Pages in this Submission
111

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

PREPOLYMER COMPOSITION FOR INSULATING FOAMS

and invented by:

Mathias, Pauls and Rene Schumacher

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/501,020

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: PCT/EP 94/00385

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 19 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
3548.04-1

Total Pages in this Submission
111

Application Elements (Continued)

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
a. ☐ Formal b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
a. ☐ Newly executed (original or copy) ☐ Unexecuted
b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
c. ☒ With Power of Attorney ☐ Without Power of Attorney
d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
a. ☐ Paper Copy
b. ☐ Computer Readable Copy
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & documents)
9. ☒ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☒ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
☐ First Class ☒ Express Mail (Specify Label No.): EL293605585US

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
3548.04-1

Total Pages in this Submission
111

Accompanying Application Parts (Continued)

15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☐ Additional Enclosures (please identify below):

Certified Transition (2 pages)
Declaration (2 pages)

PCT/EP94/00385 (28 pages)
Preliminary Amendment - New (5 pages)

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	28	- 20 =	8	x \$9.00	\$72.00
Indep. Claims	2	- 3 =	0	x \$39.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$380.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$452.00

- ☒ A check in the amount of \$452.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 16-1331 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 10, 1999


Signature

Howard M. Peters (Reg. No. 29, 20)

cc:

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

3548.04

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/EP94/00385INTERNATIONAL FILING DATE
10 February 1994PRIORITY DATE CLAIMED
10 February 1993TITLE OF INVENTION
PREPOLYMER COMPOSITION FOR INSULATING FOAMSAPPLICANT(S) FOR DO/EO/US
Mathias Pauls and ReneSchumacher

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **UNSIGNED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

See the Transmittal Sheet

THE COMMISSIONER IS AUTHORIZED TO
CHARGE OR CREDIT DEPOSIT ACCOUNT
NO. 16-1560 AS NEEDEDHoward M. Peters
HOWARD M. PETERS

8-9-95

TB 801780749 US

Express Mail mailing label number

Date of Deposit Aug 9, 1995

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Post Office to Add. "see" card under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Howard M. Peters

(Name of person mailing paper or fee)

Howard M. Peters
(Signature of person mailing paper or fee)

08-501020

Box PC1
Washington, D.C. 20231

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

U.S. DOCKET NO.

08/501,020

PAULS

M

3548.04

INTERNATIONAL APPLICATION NO.

PCT/EP94/00385

I.A. FILING DATE

PRIORITY DATE

02/10/94 02/10/93

DATE MAILED:

08/31/95

HOWARD M. PETERS
385 SHERMAN AVENUE, SUITE 6
PALO ALTO, CA 94306

5621

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventor(s) for DO/EO/US. (unsigned)

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 09 Aug 95 and English

☐ Information Disclosure Statement(s) filed _____ and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☒ Substitute specification filed 09 Aug 95

☐ Verified Statement Claiming Small Entity Status.

☐ Priority Document

☐ Other:

2. The following items MUST be furnished within the time period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or Declaration of the inventors, in compliance with 37 CFR 1.63, identifying the application by International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.63 for the reasons indicated on the attached PTO-152.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a) -2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 or ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

PHILLIPS MOORE
LEMPER & FINLEY

SEP 5 - 1995

RECEIVED

DOCKETED

3542 U.S. PTO

09/437276

11/10/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
 Inventor's Name: Mathias Pauls, et al.)
)
 U.S. Serial No.: 08/501,020)
 From PCT/EP 94/00385)
)
 U.S. Filing Date: August 9, 1995)
 Int. Filing Date February 10, 1994)
)
 For: PREPOLYMER COMPOSITION FOR)
INSULATING FOAMS)

Group Art:

Examiner:

jc542 U.S. PTO
 09/437276
 11/10/99

Commissioner of Patents and Trademarks
 Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 3, 1996.

Howard M. Peters

Howard M. Peters (Reg. No. 29,202)

TRANSMITTAL OF SUPPLEMENTAL DECLARATION

Sir:

Enclosed herewith is a supplemental signed declaration.

It more clearly shows status of the priority German patent applications which certified copies were filed on August 9, 1995.

If additional fees are required for the filing of this document, the Commissioner of hereby authorized to charge or credit an overpayment to Deposit Account No. 16-1560.

If there are questions, please call the undersigned at 415-324-1677.

Respectfully submitted,

Howard M. Peters

Howard M. Peters (Reg. No. 29,202) for
 PHILLIPS, MOORE, LEMPION & FINLEY
 385 Sherman Avenue, Suite 6
 Palo Alto, CA 943061840
 Attorneys for Applicant

HMP/le.1
 Enclosure

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
 Inventor's Name: Mathias Pauls, et al.) Group Art:
)
 U.S. Serial No.: 08/501,020) Examiner:
 From PCT/EP 94/00385)
)
 U.S. Filing Date: August 9, 1995)
 Int. Filing Date February 10, 1994)
)
 For: PREPOLYMER COMPOSITION FOR)
INSULATING FOAMS)
 Commissioner of Patents and Trademarks
 Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 3, 1996.



Howard M. Peters (Reg. No. 29,202)

TRANSMITTAL LETTER & CONDITIONAL PETITION FOR EXTENSION OF TIME

Enclosed herewith are the following documents:

- 1) Transmittal Letter & Conditional Petition for Extension of Time
- 2) Supplemental Declaration
- 3) Small Entity Form
- 4) Postcard

Please consider this a conditional petition for extension of time, if needed, to January 9, 1996.

If additional fees are required for the filing of this document, the Commissioner of hereby authorized to charge or credit an overpayment to Deposit Account No. 16-1560.

Respectfully submitted,



Howard M. Peters (Reg. No. 29,202)

for

PHILLIPS, MOORE, LEMPPIO & FINLEY
 385 Sherman Avenue, Suite 6
 Palo Alto, CA 943061840
 Attorneys for Applicant

HMP/le.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (EO/US)
TRANSMITTAL LETTER TO THE UNITED STATES/ELECTED OFFICE DO/EO/US

Applicants for DO/EO/US

Mathias Pauls and Rene Schumacher

U.S. Serial No.: 08/_____

Filed: August 9, 1995

International Application No.: PCT/EP94/00385

International Filing Date: 10 February 1994

Priority Date Claimed: 10 February 1993

For: PREPOLYMER COMPOSITION FOR
INSULATING FOAMS

Group Art Unit:

Examiner:



BOX PCT

Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Attention: EO/US

SIR:

RETAIN THIS NUMBER-CUSTOMER
RECEIPT WILL BE MAILED TO YOU.

TB801780749 US

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (label no. TB801780749US) in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 9, 1995.

Howard M. Peters (Reg. No. 29,202)

TRANSMITTAL LETTER
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:

1. [X] This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).

2. [X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows (37 CFR 1.492):

Fees

CLAIMS FEE	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	total claims	28 - 20 =	- 8 -	x \$22.00	\$ - 176 -
	independent claims	2 - 3 =	- 0 -	x \$74.00	- 0 -
	Multiple claims	- 2 =	- 0 -	- 0 -	- 0 -
BASIC FEE	[X] U.S. WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where no international preliminary examination fee as set forth in § 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in § 1.445(a)(2) to the U.S. PTO: [X] has not been paid (37 CFR 1.492(a)(3))				\$950.00
	Total of above calculations				\$950.00
SMALL ENTITY	Reduction by ½ for filing by small entity, if applicable. Affidavit must be filed also. (note 37 CFR 1.9, 1.27, 1.28)				\$ ____ .00
	Subtotal				\$1126.00
	Total National Fee				\$1126.00
TOTAL	Total Fees enclosed				\$1126.00

- [X] See attached Preliminary Amendment increasing the Number of Claims.
- [X] A check in the amount of \$1126.00 to cover the above fees is enclosed.
- [X] 3. A copy of the International application as filed and published [35 U.S.C. 371(c)(2)] (PCT/EP94/00385) is transmitted herewith.
- [X] 4. Amendments to the claims of the International application under PCT Article 19 [35 U.S.C. 371(c)(3)] are transmitted herewith.
- [X] 5. A copy of the international examination report (PCT/IPEA/409) is transmitted herewith.
- [X] 6. An oath or declaration of the inventors [35 U.S.C. 371(c)(4)] complying with 35 U.S.C. 115 is submitted herewith and such Declaration and Power of Attorney is attached to the application - unsigned.

[X] 7. An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a) is transmitted herewith.

8. Additional documents:

- a. [X] Copy of request (PCT/RO/101)
- b. [X] International Publication No. WO92
[X] Specification and claims
- c. [X] Preliminary Amendment (37 CFR § 1.121)
- d. [X] Demand for Chapter II Examination

9. The above checked items are being transmitted before 30 months from any claimed priority date.

10. Please send all documents to:

Howard M. Peters (Reg. No. 29,202)
PHILLIPS MOORE LEMPPIO & FINLEY
385 Sherman Avenue, Suite 6
Palo Alto, CA 94306

11. Please address all telephone calls to:

415-324-1677 Fax: 415-324-1678

12. The Commissioner is authorized to charge any additional filing fees which may be required by this paper and during the pendency of this application to Deposit Account No. 16-1560.

[X] 37 C.F.R. 1.492(a)(1), (2), (3), and (4) (filing fees).

The Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 16-1560.

A duplicate of this paper is attached.

Respectfully submitted,



Howard M. Peters (Reg. No. 29,202)

Attorney of Record for

PHILLIPS, MOORE, LEMPPIO & FINLEY

Date: August 8, 1995

385 Sherman Avenue, Suite 6

Palo Alto, CA 94306

Telephone no. (415) 324-1677

Attorney Docket No. 3548-04 (HMP)

HMP/eb.ref.1

Enclosures

PCT Request in German -- 3 pgs.

PCT/EP94/00385 Publication WO 94/18268 -- 28 pgs.

Application Translation into English-- 17 pgs.

Art - 7 refs.

PCT Schriftlicher Bescheid 17 Nov. 1994 - 7 pgs.

PCT Int. Vorlaufegen Pruf 12 May 1995 - 7 pgs.

Substitute Specification - 19 pgs.

Declaration for Substitute Specification - 2 pgs.

U.S. Declaration and Power -- 2 pgs.

Preliminary Amendment A -- 5 pgs.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Mathias, Pauls and Rene Schumacher

Docket No.

3548.04-1

Serial No.

09/

Filing Date

November 10, 1999

Examiner

Group Art Unit

Invention:

PREPOLYMER COMPOSITION FOR INSULATING FOAMS

jc542 U.S. PTO
09/437276
11/10/99I hereby certify that this Continuation U.S. Patent Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
November 10, 1999

(Date)

Howard M. Peters (Reg. No. 29, 202)

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL293605585US

("Express Mail" Mailing Label Number)

EL293605585US

Note: Each paper must have its own certificate of mailing.

3548.04

- 1 -

PATENT
P36195 US (TH/KL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)

Inventor's Name:)

Mathias Pauls, et al.)

Group Art:)

U.S. Serial No.: 08/501,020)

Examiner:)

Filed: August 9, 1995)

Int. Serial No.: PCT/EP 94/00385)

Filed: February 10, 1994)

For: PREPOLYMER COMPOSITION FOR
INSULATING FOAMS)

BOX ASSIGNMENTS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as ☒ first class mail or ☐ Express Mail label no. _____ in an envelope addressed to: Box Assignment, Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October, 10, 1995.

Howard M. Peters

Howard M. Peters (Reg. No. 29,202)

TRANSMITTAL OF ASSIGNMENT FOR RECORDAL (37 CFR 1.331)

Kindly record the enclosed assignment for the above-identified
☒ application ☐ patent

When recordal has been effected, please return the original assignment document to the undersigned.

Change of patent maintenance fee address

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees for the patent listed above is being sent separately.

☒ Attached is a check for \$ 40.00.

jc542 U.S. PRO
09/437276
11/10/99

3548.04

- 2 -

PATENT
P36195 US (TH/KL)

The Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 16-1560.

A duplicate of this transmittal is attached.

Respectfully submitted,



Howard M. Peters (Reg. No. 29,202)
Attorney of Record
PHILLIPS, MOORE, LEMPPIO & FINLEY

385 Sherman Avenue, Suite 6
Palo Alto, CA 94306-1840
Telephone no. (415) 324-1677
Attorney Docket No. 3548.04
HMP:kjj.1

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)
(Small Entity)

Docket No.
3548.04

In Re Application Of:

Pauls, Mathias, Schumacher, Rene

Serial No.
08/501,020

Filing Date
October 16, 1995

Examiner
R. Sergent

Group Art Unit
1711

Invention:

PREPOLYMER COMPOSITION FOR INSULATING FOAMS

10542 U.S. PTO
08/437276
11/10/99

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of August 15, 1999 above-identified application.
Date

The requested extension is as follows (check time period desired):

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: October 15, 1999
Date

until: November 15, 1999
Date

A verified statement of small entity status as a small entity under 37 CFR 1.27:

- ☐ is enclosed.
☒ has already been filed in this application.

The fee for the extension of time is \$55 and is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 16-1331
A duplicate copy of this sheet is enclosed.
☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 16-1331 A duplicate copy of this sheet is enclosed.

Howard M. Peters
Signature

Dated: November 10, 1999

Howard M. Peters (Reg. No. 29, 202)

I certify that this document and fee is being deposited on Nov. 10, 1999 11-10-99 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Joyce Abriam
Signature of Person Mailing Correspondence

Joyce Abriam

Typed or Printed Name of Person Mailing Correspondence

cc: Dr. Christian Thiel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (EO/US)
TRANSMITTAL LETTER TO THE UNITED STATES/ELECTED OFFICE DO/EO/US

OK to
enter
4/23/02
Substitution
Specification
RA
Applicants for DO/EO/US)

Mathias Pauls and Rene Schumacher)

U.S. Serial No.: 08/)

Filed: August 9, 1995)

International Application No.: PCT/EP94/00385)

International Filing Date: 10 February 1994)

Priority Date Claimed: 10 February 1993)

For: PREPOLYMER COMPOSITION FOR)
INSULATING FOAMS)

Group Art Unit:)

Examiner:)

Jc542 U.S. PRO
09/437276
11/10/99

BOX PCT

Assistant Commissioner of Patents and Trademarks

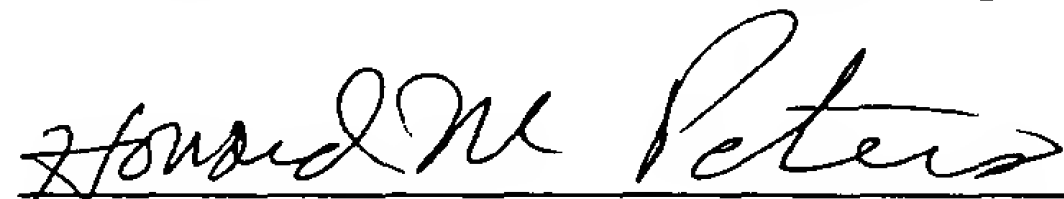
Washington, D.C. 20231

Attention: EO/US

SIR:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (label no. TB801780749US) in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 9, 1995.


Howard M. Peters (Reg. No. 29,202)

DECLARATION THAT SUBSTITUTE SPECIFICATION
CONTAINS NO NEW MATTER (37 CFR 1.125)

1. Identification of person making this declaration.

Howard M. Peters
385 Sherman Avenue, Suite 6
Palo Alto, CA 94306
Fax: (415) 324-1678

The person making this declaration is the attorney in this application, registration number 29, 202.

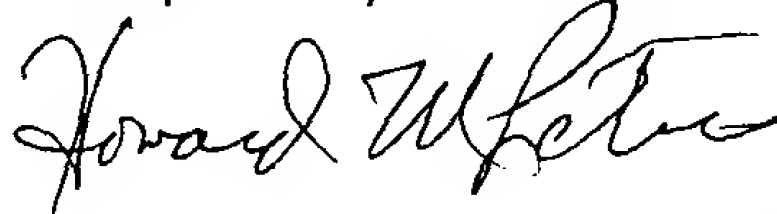
2. Declaration

I hereby declare that the accompanying substitute specification contains no new matter over that contained in the above-identified PCT application originally filed in German and as translated into English.

☐ I further state that the changes made are the same as indicated in the hand written interlineated original specification also accompanying this declaration.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Further, Declarant says not.

Respectfully submitted,



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